

ISO 14721:2025-03 (E)

Space Data System Practices - Reference model for an open archival information system (OAIS)

Contents	Page
1 INTRODUCTION	1-1
1.1 PURPOSE AND SCOPE	1-1
1.2 APPLICABILITY	1-2
1.3 RATIONALE	1-3
1.4 CONFORMANCE	1-3
1.5 DOCUMENT STRUCTURE.....	1-4
1.6 DEFINITIONS	1-7
2 OAIS CONCEPTS	2-1
2.1 GENERAL	2-1
2.2 OAIS ENVIRONMENT	2-2
2.3 OAIS INFORMATION	2-3
2.4 PRESERVATION OBJECTIVES	2-8
2.5 SUPPLEMENTARY INFORMATION HELD BY THE ARCHIVE.....	2-9
2.6 OAIS HIGH-LEVEL EXTERNAL INTERACTIONS	2-10
3 OAIS RESPONSIBILITIES	3-1
3.1 OVERVIEW	3-1
3.2 MANDATORY RESPONSIBILITIES.....	3-1
3.3 EXAMPLE MECHANISMS FOR DISCHARGING RESPONSIBILITIES.....	3-2
4 DETAILED MODELS	4-1
4.1 OVERVIEW	4-1
4.2 FUNCTIONAL MODEL	4-1
4.3 INFORMATION MODEL.....	4-22
4.4 INFORMATION PACKAGE TRANSFORMATIONS.....	4-55
5 PRESERVATION PERSPECTIVES	5-1
5.1 OVERVIEW	5-1
5.2 DIGITAL MIGRATION.....	5-2
5.3 ADDING REPRESENTATION INFORMATION	5-11
5.4 HANDING OVER TO ANOTHER OAIS	5-16
6 ARCHIVE INTEROPERABILITY	6-1
6.1 GENERAL	6-1
6.2 LEVELS OF INTERACTION BETWEEN OAIS ARCHIVES	6-2

Section

ANNEX A	COMPOSITE FUNCTIONAL VIEW (INFORMATIVE)	A-1
ANNEX B	RELATIONSHIP WITH OTHER STANDARDS OR EFFORTS (INFORMATIVE)	B-1
ANNEX C	BRIEF GUIDE TO THE UNIFIED MODELING LANGUAGE (UML) (INFORMATIVE)	C-1
ANNEX D	INFORMATIVE REFERENCES (INFORMATIVE)	D-1
ANNEX E	A MODEL FOR SOFTWARE USE IN REPRESENTATION INFORMATION (INFORMATIVE)	E-1
ANNEX F	SECURITY CONSIDERATIONS (INFORMATIVE)	F-1

Figure

1-1	Examples of Diagram Conventions	1-6
2-1	Environment Model of an OAIS	2-2
2-2	Obtaining Information from Data	2-4
2-3	Information Package Concepts and Relationships	2-6
2-4	OAIS Archive External Data	2-10
4-1	OAIS Functional Entities	4-1
4-2	Functions of the Ingest Functional Entity	4-6
4-3	Functions of the Archival Storage Functional Entity.....	4-8
4-4	Functions of the Data Management Functional Entity	4-10
4-5	Functions of the Administration Functional Entity.....	4-12
4-6	Functions of the Preservation Planning Functional Entity.....	4-15
4-7	Functions of the Access Functional Entity.....	4-18
4-8	OAIS Data Flow Diagram.....	4-20
4-9	Administration Context Diagram	4-21
4-10	Information Object	4-23
4-11	Representation Information Object	4-26
4-12	Partial General RIN.....	4-27
4-13	Example of a Simplified RIN for a FITS File.....	4-28
4-14	Information Object Taxonomy.....	4-31
4-15	Information Package Contents and Its Associated Package Description and Packaging Information.....	4-39
4-16	Example of an Information Object Made Up of Content Information and PDI	4-39
4-17	Information Package Taxonomy	4-40
4-18	Archival Information Package (AIP) and Its Associated Package Description and Packaging Information.....	4-42
4-19	Preservation Description Information	4-43
4-20	Package Description and Its Associated AIP	4-44

Figure

4-21	Archival Information Package (Detailed View) and Its Associated Package Description and Packaging Information	4-45
4-22	Specialization of the AIP	4-46
4-23	Specialization of the Package Description.....	4-47
4-24	Archival Information Unit (AIU) and Its Associated Unit Description and Packaging Information.....	4-48
4-25	Unit Description and Its Associated Archival Information Unit	4-48
4-26	Archival Information Collections and Its Associated Collection Description and Packaging Information Logical View.....	4-50
4-27	Collection Description and Its Associated Archival Information Collection	4-52
4-28	Data Management Information	4-55
4-29	High-Level Data Flows in an OAIS.....	4-56
5-1	Conceptual View of Relationships among Names and AIP Components	5-4
6-1	Cooperating Archives with Mutual Exchange Agreement	6-4
6-2	Cooperating Archives with Standard Ingest and Access Methods	6-5
6-3	An OAIS Federation Employing a Common Catalog.....	6-6
6-4	Archives with Shared Storage.....	6-10
6-5	Example of a Distributed OAIS Archive for Archival Storage	6-12
6-6	Another Example of a Distributed OAIS Archive for Archival Storage	6-14
A-1	Composite of Functional Entities.....	A-2
C-1	Key to UML Relationships	C-1
E-1	Layered Information Model	E-1

Table

4-1	Examples of PDI	4-36
5-1	CDO Software Roles and Preservation Possible Actions	5-14