ISO/IEC 42006:2025-07 (E)

Information technology - Artificial intelligence - Requirements for bodies providing audit and certification of artificial intelligence management systems

Contents

Page

Foreword v

Introduction vi

1 Scope 1

2 Normative references 1

3 Terms and definitions 1

4 Principles 2

5 General requirements 2
5.1 Legal and contractual matters 2
5.2 Management of impartiality 2
5.2.1 General 2
5.2.2 Conflicts of interest 2
5.3 Liability and financing 3
5.3.1 General 3
5.3.2 Liability 3

6 Structural requirements 3

7 Resource requirements 3
7.1 Competence of personnel 3
7.1.1 General 3
7.1.2 Generic technical competence requirements 3
7.1.3 Specific technical competence requirements 4
7.2 Personnel involved in the certification activities 7
7.2.1 General 7
7.2.2 Demonstration of knowledge and experience 7
7.3 Use of individual external auditors and external technical experts 8
7.4 Personnel records 8
7.5 Outsourcing 8

8 Information requirements 8
8.1 Public information 8
8.2 Certification documents 9
8.2.1 General 9
8.2.2 AIMS certification documents 9
8.3 Reference to certification and use of marks 9
8.4 Confidentiality 9
8.4.1 General 9
8.4.2 Access to the documentation of the organization 9
8.5 Information exchange between a certification body and its clients 9

9 Process requirements 9
9.1 Pre-certification activities 9
9.1.1 General 9
9.1.2 Audit programme 10
9.1.3 Scope of certification 10

9.1.4 Determining audit time 10
9.1.5 Multi-site sampling 10
9.1.6 Multiple management systems 10
9.2 Planning audits 11
9.2.1 Determining audit objectives, scope and criteria 11
9.2.2 Audit team selection and assignments 11
9.2.3 Audit plan 11
9.2.4 Deployment of remote audit 12
9.3 Initial certification 12
9.3.1 General 12
9.3.2 Initial certification audit 12
9.4 Conducting audits 13
9.5 Certification decision 13
9.6 Maintaining certification 13
9.6.1 General 13
9.6.2 Surveillance activities 13
9.6.3 Re-certification 13
9.6.4 Special audits 13
9.6.5 Suspending, withdrawing or reducing the scope of certification 14
9.7 Appeals 14
9.8 Complaints 14
9.9 Client records 14

10 Management system requirements for certification bodies 14
10.1 Options 14
10.2 Option A: General management system requirements 14
10.3 Option B: Management system requirements in accordance with ISO 9001 14

Annex A (normative) Audit time 15

Annex B (informative) Examples for audit time calculations 20

Annex C (informative) Template for a certification document 29

Bibliography 31