ISO/IEC 19795-4:2008-06 (E)

Information technology - Biometric performance testing and reporting - Part 4: Interoperability performance testing

Contents

Page

Foreword vi

Introduction vii

1 Scope 1

2 Conformance 1

3 Normative references 2

4 Terms and definitions 2

5 Abbreviated terms 4

6 Goals 5
6.1 Coverage 5
6.2 Target application 8
6.2.1 Biometric application 8
6.2.2 Interoperable application 9
6.3 Purpose 10
6.3.1 Interoperability testing 10
6.3.2 Sufficiency testing 11

7 Metrics 12
7.1 General 12
7.2 Figures of merit 12
7.2.1 Recognition performance figure of merit 12
7.2.2 Measuring component failure 13
7.3 Interoperability matrices 14
7.3.1 General 14
7.3.2 Interoperability with sBDB generators 14
7.3.3 Interoperability with sBDB generators 15
7.3.4 Fixed operating point interoperability 16
7.3.5 Reporting failure of sBDB generators 16
7.4 Proprietary performance 16

8 Conducting a test 17
8.1 Structure of test 17
8.2 Sample data 17
8.2.1 Acquisition 17
8.2.2 Representative data 18
8.2.3 Collection of ancillary data 18
8.2.4 Corpus size 18
8.2.5 Removal of subject-specific metadata 18
8.2.6 Removal of unrepresentative metadata 18
8.2.7 Origin of samples 19
8.2.8 Untainted samples 19
8.2.9 Sequestered data 19
8.3 Conformance testing 19
8.3.1 Conformance 19
8.3.2 Executing conformance tests 19

8.3.3 Reporting 20
8.4 Constraints on the sBDBs 20
8.4.1 Optional encodings 20
8.4.2 Optional encodings from profile standards 20
8.4.3 Deviation from the base standard 20
8.4.4 Data encapsulation 20
8.5 Components 21
8.5.1 Components for sufficiency testing 21
8.5.2 Establishing modularity requirements 21
8.5.3 Components for interoperability testing 21
8.5.4 Underlying algorithms 21
8.5.5 Capture device user interfaces 21
8.5.6 Multimodal components 22
8.5.7 Component variability 22
8.5.8 Component reporting requirements 22
8.6 Planning decisions 22
8.6.1 Computational intensity 22
8.6.2 Supplier recruitment 23
8.6.3 Provision of samples to suppliers 23
8.6.4 Equivalency of generator resources 23
8.6.5 Handling violations of test requirements 24
8.6.6 Comparison subsystem output data encapsulation 24
8.6.7 Fundamental generator requirement 24
8.6.8 Fundamental comparison subsystem requirement 25
8.6.9 General requirements on software implementations 25
8.7 Prevention and detection of gaming 26
8.7.1 General aspects 26
8.7.2 Modes of gaming 26
8.7.3 Prevention and detection of gaming 28
8.8 Test procedure 29
8.8.1 Primary test 29
8.8.2 Uncertainty measurement 30
8.8.3 Variance estimation 30
8.8.4 Remedial testing 30
8.8.5 Survey of configurable parameters 30

9 Interpretation of the interoperability matrix 30
9.1 Determination of interoperable subsystems 30
9.1.1 General 30
9.1.2 Identifying interoperable combinations of subsystems 31
9.1.3 Acceptable numbers of interoperable subsystems 33
9.1.4 Combinatorial search for maximum interoperability-classes 33
9.1.5 Multiple interoperable subgroups 34
9.1.6 Statistical stability of the test result 34
9.2 Interoperability with previously certified products 35
9.2.1 Decertification considerations 35
9.2.2 Continuity of testing 35
9.2.3 Interoperability with previously certified generators 35
9.2.4 Interoperability with previously certified comparison subsystems 36
9.2.5 Treatment of systematic effects 36
9.2.6 Retroactive exclusion from analysis 37
9.3 Overall sufficiency 37

Annex A (informative) Procedures for conducting a test of sufficiency and/or interoperability 38

Annex B (informative) Example Interoperability Test 42

Bibliography 45

Figure 1 -- General biometric interoperability 6

Figure 2 -- Specific interoperability: enrolment BDB is standardized 6

Figure 3 -- Specific interoperability: enrolment BDB is proprietary 7

Figure 4 -- Offline interoperability testing 7

Figure 5 -- Biometric capture device interoperability 8

Figure 6 -- Cells of an example interoperability space 10

Figure 7 -- Sufficiency testing: proprietary vs. standard interchange formats 12

Figure 8 -- Cross-generator performance matrix 15

Figure 9 -- Example performance matrix 15

Figure 10 -- Proprietary performance matrix 16

Table 1 - Conformity with ISO/IEC 19795-2 1

Table 2 - Sample size adjustment of error rate requirement 31

Table 3 - Confidence levels of the standard Normal distribution 32

Table A.1 - Interoperability test procedure, phase 1: planning 38

Table A.2 - Interoperability test procedure, phase 2: setup 39

Table A.3 - Interoperability test procedure, phase 3: sBDB and pBDB generation 39

Table A.4 - Interoperability test procedure, phase 4: verification 40

Table A.5 - Interoperability test procedure, phase 5: identification 40

Table A.6 - Interoperability test procedure, phase 6: reporting 41

Table A.7 - Interoperability test procedure, phase 7: variance estimation 41