

DIN ISO 31000:2018-10 (E)

Risk management - Guidelines (ISO 31000:2018)

| Contents | | Page |
|---|---|-------------|
| National foreword | | 3 |
| National Annex NA (informative) Bibliography | | 4 |
| Foreword | | 5 |
| Introduction | | 6 |
| 1 | Scope | 7 |
| 2 | Normative references | 7 |
| 3 | Terms and definitions | 7 |
| 4 | Principles | 8 |
| 5 | Framework | 10 |
| 5.1 | General..... | 10 |
| 5.2 | Leadership and commitment..... | 11 |
| 5.3 | Integration..... | 11 |
| 5.4 | Design..... | 12 |
| 5.4.1 | Understanding the organization and its context..... | 12 |
| 5.4.2 | Articulating risk management commitment..... | 12 |
| 5.4.3 | Assigning organizational roles, authorities, responsibilities and accountabilities..... | 13 |
| 5.4.4 | Allocating resources..... | 13 |
| 5.4.5 | Establishing communication and consultation..... | 13 |
| 5.5 | Implementation..... | 13 |
| 5.6 | Evaluation..... | 14 |
| 5.7 | Improvement..... | 14 |
| 5.7.1 | Adapting..... | 14 |
| 5.7.2 | Continually improving..... | 14 |
| 6 | Process | 14 |
| 6.1 | General..... | 14 |
| 6.2 | Communication and consultation..... | 15 |
| 6.3 | Scope, context and criteria..... | 16 |
| 6.3.1 | General..... | 16 |
| 6.3.2 | Defining the scope..... | 16 |
| 6.3.3 | External and internal context..... | 16 |
| 6.3.4 | Defining risk criteria..... | 16 |
| 6.4 | Risk assessment..... | 17 |
| 6.4.1 | General..... | 17 |
| 6.4.2 | Risk identification..... | 17 |
| 6.4.3 | Risk analysis..... | 18 |
| 6.4.4 | Risk evaluation..... | 18 |
| 6.5 | Risk treatment..... | 19 |
| 6.5.1 | General..... | 19 |
| 6.5.2 | Selection of risk treatment options..... | 19 |
| 6.5.3 | Preparing and implementing risk treatment plans..... | 20 |
| 6.6 | Monitoring and review..... | 20 |
| 6.7 | Recording and reporting..... | 20 |
| Bibliography | | 22 |