DIN EN ISO 14971:2013-04 (E)

Medical devices - Application of risk management to medical devices (ISO 14971:2007, Corrected version 2007-10 -01)

Contents

Page

Foreword 3

Introduction 4

1 Scope 5

2 Terms and definitions 5

3 General requirements for risk management 9
3.1 Risk management process 9
3.2 Management responsibilities 11
3.3 Qualification of personnel 11
3.4 Risk management plan 11
3.5 Risk management file 12

4 Risk analysis 12
4.1 Risk analysis process 12
4.2 Intended use and identification of characteristics related to the safety of the medical device 13
4.3 Identification of hazards 13
4.4 Estimation of the risk(s) for each hazardous situation 13

5 Risk evaluation 14

6 Risk control 15
6.1 Risk reduction 15
6.2 Risk control option analysis 15
6.3 Implementation of risk control measure(s) 15
6.4 Residual risk evaluation 16
6.5 Risk/benefit analysis 16
6.6 Risks arising from risk control measures 16
6.7 Completeness of risk control 16

7 Evaluation of overall residual risk acceptability 17

8 Risk management report 17

9 Production and post-production information 17

Annex A (informative) Rationale for requirements 19

Annex B (informative) Overview of the risk management process for medical devices 27

Annex C (informative) Questions that can be used to identify medical device characteristics that could impact on safety 29

Annex D (informative) Risk concepts applied to medical devices 36

Annex E (informative) Examples of hazards, foreseeable sequences of events and hazardous situations 53

Annex F (informative) **Risk management plan** **58**

Annex G (informative) **Information on risk management techniques** **60**

Annex H (informative) **Guidance on risk management for *in vitro* diagnostic medical devices** **64**

Annex I (informative) **Guidance on risk analysis process for biological hazards** **80**

Annex J (informative) **Information for safety and information about residual risk** **82**

Annex ZA (informative) **Relationship between this European Standard and Requirements of EU Directive 93/42/EEC on Medical Devices** **84**

Annex ZB (informative) **Relationship between this European Standard and Requirements of EU Directive 90/385/EEC on Active Implantable Medical Devices** **89**

Annex ZC (informative) **Relationship between this European Standard and Requirements of EU Directive 98/79/EC on In Vitro Diagnostic Medical Devices** **93**

Bibliography **97**