

ISO/IEC 19795-1:2006-04 (E)

Information technology - Biometric performance testing and reporting - Part 1: Principles and framework

Contents		Page
Foreword		v
Introduction		v
1	Scope	1
2	Conformance	1
3	Normative references	1
4	Terms and definitions	2
4.1	Biometric data	2
4.2	User interaction with a biometric system	3
4.3	Personnel involved in the evaluation	3
4.4	Types of evaluation	4
4.5	Biometric applications	5
4.6	Performance measures	5
4.7	Data presentation curves	7
4.8	Statistical terms	7
5	General biometric system	8
5.1	Conceptual diagram of general biometric system	8
5.2	Conceptual components of a general biometric system	8
5.3	Functions of general biometric system	10
5.4	Enrolment, verification & identification transactions	12
5.5	Performance measure	12
6	Planning the evaluation	14
6.1	General	14
6.3	Determine information about the system	14
6.4	Controlling factors that influence performance	15
6.5	Test subject selection	16
6.6	Test size	17
6.7	Multiple tests	18
7	Data collection	19
7.1	Avoidance of data collection errors	19
7.2	Data and details collected	20
7.3	Enrolments	20
7.4	Genuine transactions	21
7.5	Identification transactions of users enrolled in the system	22
7.6	Impostor transactions	23
7.7	Identification transactions of users not enrolled in the system	25
8	Analyses	26
8.1	General	26
8.2	Fundamental performance metrics	26
8.3	Verification system performance metrics	28
8.4	(Open-set) Identification system performance metrics	30
8.5	Closed-set identification	31
8.6	Detection error trade-off / Receiver operating characteristic curves	31

8.7	Uncertainty of estimates	32
9	Record keeping	32
10	Reporting performance results	33
10.1	Fundamental metrics	33
10.2	Verification system metrics	33
10.3	Identification system metrics	33
10.4	Closed-set identification system metrics	34
10.5	Reporting test details	34
10.6	Graphical presentation of results	35
Annex A (informative) Differences between evaluation types		38
Annex B (informative) Test size and uncertainty		39
B.1	Confidence intervals and test size assuming independent identically distributed comparisons	39
B.1.1	Rule of 3	39
B.1.2	Rule of 30	39
B.1.3	Number of comparisons to support a claimed error rate	39
B.2	Variance of performance measures as a function of test size	40
B.3	Estimates for variance of performance measures	41
B.3.1	General	41
B.3.2	Variance of observed false non-match rate	41
B.3.3	Variance of observed false match rate	43
B.4	Estimating confidence intervals	44
B.4.1	General	44
B.4.2	Bootstrap estimates of the variance and confidence intervals	44
B.4.3	Subset sampling	45
Annex C (informative) Factors influencing performance		46
C.1	General	46
C.2	List of factors	46
C.2.1	Population demographics	46
C.2.2	Application	47
C.2.3	User physiology	47
C.2.4	User behaviour	48
C.2.5	User appearance	48
C.2.6	Environmental influences	49
C.2.7	Sensor and hardware	49
C.2.8	User interface	50
C.3	Examples for reporting	50
C.3.1	Finger position	50
C.3.2	Illumination	50
C.3.3	Glasses	50
C.3.4	Dirt on platen	51
C.3.5	Weather	51
Annex D (informative) Pre-selection		52
D.1	Pre-selection algorithm performance	52
Annex E (informative) Identification performance as a function of database size		53
Annex F (informative) Algorithms for generating ROC, DET and CMC curves		54
F.1	Algorithm for ROC and DET	54
F.2	Algorithm for generating CMC	54
Bibliography		55