ISO/IEC 20237:2023-10 (E)

Information technology - Sparkplug® version 3.0

Contents

Page

1. Introduction 2
   1.1. Rationale and Use Case 2
      1.1.1. Define an MQTT Topic Namespace 2
      1.1.2. Define MQTT State Management 2
      1.1.3. Define the MQTT Payload 2
      1.1.4. Background 3
   1.2. Intellectual Property Rights 4
      1.2.1. Disclaimers 4
   1.3. Organization of the Sparkplug Specification 4
   1.4. Terminology 5
      1.4.1. Infrastructure Components 5
   1.5. Normative References 8
   1.6. Consolidated List of Normative Statements 9
   1.7. Security 9
      1.7.1. Authentication 9
      1.7.2. Authorization 9
      1.7.3. Encryption 9
   1.8. Normative Keywords 10
   1.9. Leveraging Standards and Open Source 10
2. Principles 10
   2.1. Pub/Sub 10
   2.2. Report by Exception 10
   2.3. Continuous Session Awareness 11
   2.4. Birth and Death Certificates 11
   2.5. Persistent vs Non-Persistent Connections for Edge Nodes 12
3. Sparkplug Architecture and Infrastructure Components 12
   3.1. MQTT Server(s) 13
   3.2. MQTT Edge Node 13
   3.3. Device/Sensor 14
   3.4. MQTT Enabled Device (Sparkplug) 14
   3.5. Primary Host Application 14
   3.6. Sparkplug Host Application 14
4. Topics and Messages 14

4.1. Topic Namespace Elements 15
4.1.1. namespace Element 15
4.1.2. group_id Element 15
4.1.3. message_type Element 15
4.1.4. edge_node_id Element 16
4.1.5. device_id Element 16
4.2. Message Types and Contents 17
4.2.1. Edge Node 17
4.2.2. Device/Sensor 21
4.2.3. Birth Certificate Message (STATE) 24
4.2.4. Death Certificate Message (STATE) 25
5. Operational Behavior 26
5.1. Timestamps in Sparkplug 26
5.2. Case Sensitivity in Sparkplug 26
5.3. Host Application Session Establishment 27
5.4. Edge Node Session Establishment 30
5.5. Edge Node Session Termination 34
5.6. Device Session Establishment 36
5.7. Device Session Termination 39
5.8. Sparkplug Host Applications 40
5.9. Sparkplug Host Application Message Ordering 40
5.10. Primary Host Application STATE in Multiple MQTT Server Topologies 41
5.11. Edge Node NDATA and NCMD Messages 43
5.12. MQTT Enabled Device Session Establishment 46
5.13. Sparkplug Host Application Session Establishment 46
5.14. Sparkplug Host Application Session Termination 47
5.15. Sparkplug Host Application Receive Data 48
5.16. Data Publish 49
5.17. Commands 50
6. Payloads 52
6.1. Overview 52
6.2. Google Protocol Buffers 53
6.3. Sparkplug A MQTT Payload Definition 53
6.4. Sparkplug B MQTT Payload Definition 53
6.4.1. Google Protocol Buffer Schema 54
6.4.2. Payload Metric Naming Convention 59
6.4.3. Sparkplug B v1.0 Payload Components 60
6.4.4. Payload Component Definitions 60
6.4.5. Payload 60
6.4.6. Metric 61

6.4.7. MetaData 64
6.4.8. PropertySet 64
6.4.9. PropertyValue 65
6.4.10. PropertySetList 66
6.4.11. DataSet 66
6.4.12. DataSet.Row 67
6.4.13. DataSet.DataSetValue 67
6.4.14. Template 68
6.4.15. Template.Parameter 70
6.4.16. Data Types 71
6.4.17. Datatype Details 72
6.4.18. Payload Representation on Host Applications 77
6.4.19. NBIRTH 78
6.4.20. DBIRTH 80
6.4.21. NDATA 83
6.4.22. DDATA 84
6.4.23. NCMD 85
6.4.24. DCMD 86
6.4.25. NDEATH 87
6.4.26. DDEATH 89
6.4.27. STATE 89
7. Security 90
7.1. TLS 90
7.2. Authentication 91
7.3. Authorization 91
7.4. Implementation Notes 91
7.4.1. Underlying MQTT Security 91
7.4.2. Encrypted Sockets 91
7.4.3. Access Control Lists 91
8. High Availability 92
8.1. High Availability for MQTT Servers 92
8.1.1. MQTT Server HA Clustering (non-normative) 93
8.1.2. High Availability Cluster 93
8.1.3. High Availability Cluster with Load Balancer 94
8.2. Multiple Isolated MQTT Servers (non-normative) 94
9. Acknowledgements 96
10. Conformance 97

10.1. Conformance Profiles 97
10.1.1. Sparkplug Edge Node 97
10.1.2. Sparkplug Host Application 97
10.1.3. Sparkplug Compliant MQTT Server 98
10.1.4. Sparkplug Aware MQTT Server 98
11. Appendix A: Open Source Software (non-normative) 99
11.1. OASIS MQTT Specifications 99
11.2. Eclipse Foundation IoT Resources 100
11.3. Eclipse Paho 100
11.4. Google Protocol Buffers 100
11.5. Eclipse Kura Google Protocol Buffer Schema 100
11.6. Raspberry Pi Hardware 100
12. Appendix B: List of Normative Statements (non-normative) 100
12.1. Host Applications 100
12.2. Sparkplug Identifiers 101
12.3. Report by Exception 101
12.4. Birth and Death Certificates 101
12.5. Persistent vs Non-Persistent Connections for Edge Nodes 101
12.6. Sparkplug Host Application 101
12.7. Topic Namespace Elements 101
12.8. namespace Element 102
12.9. group_id Element 102
12.10. edge_node_id Element 102
12.11. device_id Element 102
12.12. Topic (NBIRTH) 102
12.13. Payload (NBIRTH) 102
12.14. Topic (NDATA) 103
12.15. Payload (NDATA) 103
12.16. Topic (NDEATH) 103
12.17. Payload (NDEATH) 104
12.18. Topic (NCMD) 104
12.19. Payload (NCMD) 104
12.20. Topic (DBIRTH) 104
12.21. Payload (DBIRTH) 104
12.22. Topic (DDATA) 105
12.23. Payload (DDATA) 105
12.24. Topic (DDEATH) 105

12.25. Payload (DDEATH) 105
12.26. Topic DCMD) 105
12.27. Payload (DCMD) 105
12.28. Birth Certificate Message (STATE) 106
12.29. Birth Certificate Topic (STATE) 106
12.30. Birth Certificate Payload (STATE) 106
12.31. Death Certificate Message (STATE) 106
12.32. Death Certificate Topic (STATE) 106
12.33. Death Certificate Payload (STATE) 106
12.34. Case Sensitivity in Sparkplug 107
12.35. Host Application Session Establishment 107
12.36. Edge Node Session Establishment 108
12.37. Edge Node Session Termination 109
12.38. Device Session Establishment 110
12.39. Device Session Termination 111
12.40. Sparkplug Host Application Message Ordering 111
12.41. Primary Host Application STATE in Multiple MQTT Server Topologies 112
12.42. Sparkplug Host Application Session Establishment 112
12.43. Sparkplug Host Application Session Termination 113
12.44. Data Publish 113
12.45. Commands 114
12.46. Payload 115
12.47. Metric 115
12.48. PropertySet 116
12.49. PropertyValue 116
12.50. Quality Codes 116
12.51. DataSet 116
12.52. DataSet.DataSetValue 117
12.53. Template 117
12.54. Template.Parameter 118
12.55. NBIRTH 118
12.56. DBIRTH 119
12.57. NDATA 119
12.58. DDATA 120
12.59. NCMD 120
12.60. DCMD 120
12.61. NDEATH 120

12.62. DDEATH 121
12.63. STATE 121
12.64. Sparkplug Host Application 122
12.65. Sparkplug Compliant MQTT Server 122
12.66. Sparkplug Aware MQTT Server 122