# ISO 21448:2022-06 (E)

## Road vehicles - Safety of the intended functionality

## Contents

Page

Foreword ........ v

Introduction ........ vi

1 Scope ........ 1

2 Normative references ........ 1

3 Termsanddefinitions ........ 2

4 Overview and organization of SOTIF activities ........ 11
4.1 General ........ 11
4.2 SOTIF principles ........ 11
4.2.1 SOTIF-related hazardous event model ........ 11
4.2.2 The four scenario areas ........ 12
4.2.3 Sense-Plan-Act model ........ 15
4.3 Use of this document ........ 16
4.3.1 Flow chart and structure of this document ........ 16
4.3.2 Normative clauses ........ 19
4.3.3 Interpretation of tables ........ 19
4.4 Management of SOTIF activities and supporting processes ........ 19
4.4.1 Quality management, systems engineering and functional safety ........ 19
4.4.2 Distributed SOTIF development activities ........ 20
4.4.3 SOTIF-related element out of context ........ 20

5 Specificationanddesign ........ 21
5.1 Objectives ........ 21
5.2 Specification of the functionality and considerations for the design ........ 21
5.3 System design and architecture considerations ........ 22
5.4 Performance insufficiencies and countermeasures considerations ........ 23
5.5 Work products ........ 25

6 Identificationandevaluationofhazards ........ 25
6.1 Objectives ........ 25
6.2 General ........ 26
6.3 Hazard identification ........ 26
6.4 Risk evaluation ........ 29
6.5 Specification of acceptance criteria for the residual risk ........ 30
6.6 Work products ........ 31

7 Identificationandevaluationofpotentialfunctionalinsufficienciesandpotential triggering conditions ........ 31
7.1 Objectives ........ 31
7.2 General ........ 31
7.3 Analysis of potential functional insufficiencies and triggering conditions ........ 32
7.3.1 General ........ 32
7.3.2 Potential functional insufficiencies and triggering conditions related to planning algorithms ........ 35
7.3.3 Potential functional insufficiencies and triggering conditions related to sensors and actuators ........ 35
7.3.4 Analysis of reasonably foreseeable direct or indirect misuse ........ 36
7.4 Estimation of the acceptability of the system's response to the triggering conditions ........ 37

7.5 Work products 38

8 FunctionalmodificationsaddressingSOTIF-relatedrisks 38
8.1 Objectives 38
8.2 General 38
8.3 Measures to improve the SOTIF 38
8.3.1 Introduction 38
8.3.2 System modification 39
8.3.3 Functional restrictions 40
8.3.4 Handing over authority 41
8.3.5 Addressing reasonably foreseeable misuse 41
8.3.6 Considerations to support the implementation of SOTIF measures 42
8.4 Updating the input information for "Specification and design" 42
8.5 Work products 42

9 Definitionoftheverificationandvalidationstrategy 42
9.1 Objectives 42
9.2 General 42
9.3 Specification of integration and testing 43
9.4 Work products 45

10 Evaluationofknownscenarios 46
10.1 Objectives 46
10.2 General 46
10.3 Sensing verification 46
10.4 Planning algorithm verification 47
10.5 Actuation verification 48
10.6 Integrated system verification 48
10.7 Evaluation of the residual risk due to known hazardous scenarios 49
10.8 Work products 50

11 Evaluationofunknownscenarios 50
11.1 Objectives 50
11.2 General 50
11.3 Evaluation of residual risk due to unknown hazardous scenarios 50
11.4 Work products 52
11.4.1 Validation results for unknown hazardous scenarios fulfilling objective 11.1 52
11.4.2 Evaluation of the residual risk fulfilling objective 11.1 52

12 Evaluation of the achievement of the SOTIF 52
12.1 Objectives 52
12.2 General 53
12.3 Methods and criteria for evaluating the SOTIF 53
12.4 Recommendation for SOTIF release 54
12.5 Work products 54

13 Operation phase activities 55
13.1 Objectives 55
13.2 General 55
13.3 Topics for observation 56
13.4 SOTIF issue evaluation and resolution process 57
13.5 Work products 57

Annex A (informative) General guidance on SOTIF 58

Annex B (informative) Guidance on scenario and system analyses 95

Annex C (informative)GuidanceonSOTIFverificationandvalidation 125

Annex D (informative)GuidanceonspecificaspectsofSOTIF 159

**Bibliography** ........................................................................................................................... **179**