

Contents	Page
FOREWORD.....	15
Introduction	17
1 Scope	19
2 Normative references	20
3 Terms, definitions, symbols, abbreviations, and conventions	22
3.1 Terms and definitions	22
3.2 Acronyms and abbreviations	29
3.3 Keywords	30
3.4 Conventions	31
4 Typographical Conventions.....	32
4.1 Maturity Model	32
4.2 Experimental Maturity Level	32
4.3 Implemented Maturity Level	32
4.4 Stable Maturity Level	33
4.5 Finalized Maturity Level	33
4.6 Deprecated Material	33
5 Transport and reference model.....	35
5.1 Introduction to transport and reference model	35
5.1.1 Overview	35
5.1.2 Language requirements	35
5.1.3 Communications requirements	35
5.1.4 XML Message Syntax and Semantics	35
5.2 Transport Stack	35
5.3 Reference model	37
5.3.1 Overview	37
5.3.2 Roles for Interface Constituents	37
5.3.3 Cascaded Agents	38
6 Health and Fault Management	39
6.1 Objectives	39
6.2 Overview	39
6.3 General concepts	39
6.4 Description of Health and Fault Management	40
6.4.1 General	40
6.4.2 Operational Status and Health State (Polling)	40
6.4.3 Standard Errors and Events	41
6.4.4 Error and Alert indications	41
6.4.5 Indications	41

6.4.6	Event Correlation and Fault Containment	41
6.4.7	Fault regions	44
6.4.8	Examples	46
7	Object Model General Information.....	50
7.1	Model overview (Key resources)	50
7.1.1	Overview	50
7.1.2	Introduction to CIM UML notation	50
7.2	Techniques	51
7.2.1	CIM fundamentals	51
7.2.2	Modeling profiles	53
7.2.3	CIM Naming	53
8	Correlatable and durable names	54
8.1	Overview	54
8.2	Guidelines for SCSI Logical Unit Names	55
8.3	Guidelines for FC-SB-2 device names	55
8.4	Guidelines for port names	56
8.5	Guidelines for storage system names	56
8.6	Standard formats for correlatable names	57
8.6.1	General	57
8.6.2	Standard formats for Logical Unit names	57
8.6.3	Standard formats for port names	59
8.6.4	Standard formats for fabric names	59
8.6.5	Standard formats for storage system names	59
8.6.6	Operating system device names	61
8.6.7	Case sensitivity	63
8.7	Testing equality of correlatable names	63
8.8	iSCSI names	63
9	Standard Messages.....	65
9.1	Overview	65
9.2	Required characteristics of Standard Messages	65
9.2.1	Declaring and producing Standard Messages	65
9.3	Message registry	67
9.3.1	Common Element Messages	67
9.3.2	Common Protocol Messages	77
9.3.3	Storage Messages	87
9.3.4	Fabric Messages	101
9.3.5	Host Messages	106
9.3.6	Media Library Messages	108

10	Service discovery	133
10.1	Objectives	133
10.2	Overview	133
10.3	SLP Messages	135
10.4	Scopes	136
10.5	Services Definition	137
10.5.1	General	137
10.5.2	Service Type	137
10.5.3	Service Attributes	137
10.6	User Agents (UA)	139
10.7	Service Agents (SAs)	140
10.8	Directory Agents (DAs)	140
10.9	Service Agent Server (SA Server)	140
10.9.1	General Information	140
10.9.2	SA Server (SAS) Implementation	141
10.9.3	SA Server (SAS) Clients	141
10.9.4	SA Server Configuration	142
10.9.5	SA Server Discovery	143
10.9.6	SAS Client Registration	143
10.10	Configurations	144
10.10.1	General	144
10.10.2	Multicast Configurations	144
10.10.3	No Multicast configuration	144
10.10.4	Multicast Islands	145
10.11	'Standard WBEM' Service Type Templates	146
11	Information Technology – Storage Management roles	150
11.1	Introduction to storage management roles	150
11.2	Information Technology – Storage Management Client	151
11.2.1	Overview	151
11.2.2	SLP functions	151
11.2.3	WBEM protocol functions	151
11.2.4	Security considerations	151
11.2.5	Lock management functions	151
11.3	Dedicated Information Technology – Storage Management Server	151
11.3.1	Overview	151
11.3.2	SLP functions	152
11.3.3	WBEM protocol functions	152
11.3.4	Security considerations	153
11.3.5	Lock management functions	153

11.4	General Purpose Information Technology – Storage Management Server	153
11.4.1	Overview	153
11.4.2	SLP functions	153
11.4.3	CIM-XML protocol functions	154
11.4.4	Lock management functions	154
11.4.5	Provider Subrole	154
11.5	Directory Server	154
11.5.1	General	154
11.5.2	SLP functions	154
11.5.3	CIM-XML protocol functions	155
11.5.4	Security considerations	155
11.5.5	Lock management functions	155
11.6	Combined roles on a single system	155
11.6.1	Overview	155
11.6.2	General Purpose Information Technology – Storage Management Server as a Profile Aggregator	155
12	Installation and upgrade	156
12.1	Overview	156
12.2	Role of the administrator	156
12.3	Goals	156
12.3.1	Non-disruptive installation and De-installation	156
12.3.2	Plug-and-Play	156
12.4	Server deployment	157
12.4.1	General	157
12.4.2	Controlled environment	157
12.4.3	Multiple CIMOM systems	157
12.4.4	Shared CIMOM	158
12.4.5	Uninstallation	159
12.4.6	Update	159
12.4.7	Reconfiguration	159
12.5	WBEM service support and related functions	159
12.5.1	Installation	159
12.5.2	Multiple CIM Servers on a Single Server System	160
12.5.3	Uninstallation/Upgrade	160
12.5.4	Reconfiguration	160
12.5.5	Failure	160
12.6	Client	160
12.6.1	Uninstallation	160
12.6.2	Reconfiguration	160
12.7	Directory Service	160

12.7.1	Installation	160
12.7.2	Uninstallation/Failure	161
12.8	Issues with Discovery mechanisms	161
13	Security.....	162
13.1	Objectives	162
13.2	Overview	162
13.2.1	General	162
13.2.2	General requirements for HTTP implementations	163
13.3	Description of SMI-S Security	164
13.3.1	General	164
13.3.2	Transport security	164
13.3.3	SSL 3.0 and TLS	165
13.3.4	Authentication	169
13.3.5	Indications	170
13.3.6	Service Discovery	171
13.3.7	HTTP Realms	172
13.4	Security Guidance	173
13.4.1	SSL 3.0 and TLS guidance	173
13.4.2	Authentication guidance	174
13.4.3	Authorization	178
13.4.4	Using IT Infrastructure Securely – Service Discovery	180
Annex A	(informative) Mapping CIM objects to SNMP MIB structures	181
A.1	Purpose of this annex.....	181
A.2	CIM-to-MIB mapping overview	181
A.3	The SML MIB	181
Annex B	(normative) Compliance with SMI-S.....	183
B.1	Compliance statement	183
B.2	How compliance of the architecture is declared.....	183
B.3	How compliance of the model is declared.....	183
B.4	The Server Profile and compliance	183
B.4.1	General	183
B.4.2	Example	183
B.5	Backward compatibility	184
B.5.1	General	184
B.5.2	Overview	185
B.5.3	Requirements	186
B.5.4	Implementation considerations.....	187
B.6	Rules for combining (autonomous) profiles.....	187
B.6.1	General	187

B.6.2	Backward Compatibility Rules for combining profiles	187
B.6.3	Conditions for a new profile	188
B.7	Rules for vendor extensions	188
B.7.1	General	188
B.7.2	Objectives for vendor extension rules.....	188
B.7.3	Vendor extensions and compliance rules.....	189
B.7.4	Vendor extensions and backward compatibility rules	189
B.7.5	Vendor extensions and SMI-S Nullification	190
B.7.6	Vendor Extensions that Avoid Client Confusion	190
Annex C	(normative) Indication filter strings	192
C.1	Overview.....	192
C.2	Instance creation.....	192
C.2.1	Filter string.....	192
C.2.2	Semantics	192
C.3	Instance deletion	192
C.3.1	Filter string.....	192
C.3.2	Semantics	192
C.4	Modification of any value in an array property	192
C.4.1	WQL string	192
C.4.2	CQL string.....	193
C.4.3	Semantics	193
C.5	Modification to either of two specific values in an array property.....	193
C.5.1	WQL string	193
C.5.2	CQL string.....	193
C.5.3	Semantics	193
C.6	Alert.....	193
C.6.1	Filter string.....	193
C.6.2	Semantic.....	193
Bibliography	194

Figure 1 – Experimental Maturity Level Tag.....	32
Figure 2 – Implemented Maturity Level Tag	33
Figure 3 – Stable Maturity Level Tag	33
Figure 4 – Deprecated Tag	34
Figure 5 – Transport Stack.....	36
Figure 6 – Reference Model	37
Figure 7 – Basic Fault Detection	40
Figure 8 – Health lifecycle.....	43
Figure 9 – Continuum	44
Figure 10 – Application Fault Region.....	45
Figure 11 – Array Instance	46
Figure 12 – Switch Example	48
Figure 13 – Lines that Connect Classes	50
Figure 14 – iSCSI Qualified Names (iqn) examples.....	64
Figure 15 – iSCSI EUI Name example	64
Figure 16 – iSCSI 64-bit NAA Name example	64
Figure 17 – iSCSI 128-bit NAA Name example	64
Figure 18 – SA Server Configuration	143
Figure 19 – Multicast Configuration	144
Figure 20 – No Multicast configuration	145
Figure 21 – Multicast Islands.....	146
Figure 22 – SMI_S Roles	150
Figure B.1 – Provider migration.....	185

Table 1 – OperationalStatus for Disk Drive	40
Table 2 – Standard formats for StorageVolume names	57
Table 3 – Standard formats for port names.....	59
Table 4 – Standard formats for storage system names.....	60
Table 5 – Standard operating system names for tape devices.....	62
Table 6 – LogicalDisk.Name for disk partitions	62
Table 7 – GenericDiskParittion.Name for disk partitions.....	62
Table 8 – Standard operating system names for unpartitioned disks.....	63
Table 9 – Example Standard Message Declaration	66
Table 10 – Example Standard Message Values	67
Table 11 – Redundancy Message Arguments	67
Table 12 – Redundancy Alert Information	68
Table 13 – Environmental Message Arguments	68
Table 14 – Environmental Alert Information	69
Table 15 – FRU Operation Message Arguments	69
Table 16 – FRU Operation Alert Information	69
Table 17 – Password change Message Arguments	70
Table 18 – Password change Alert Information	70
Table 19 – User or Account Operation Message Arguments.....	70
Table 20 – User or Account Operation Alert Information.....	71
Table 21 – User Login Message Arguments.....	71
Table 22 – User Login Alert Information	71
Table 23 – Proxy Agent Device Communication Message Arguments.....	72
Table 24 – Proxy Agent Device Communication Alert Information.....	72
Table 25 – Port Status Changed Message Arguments.....	72
Table 26 – Port Status Changed Alert Information	73
Table 27 – Datacheck Error Message Arguments.....	73
Table 28 – Datacheck Error Alert Information	73
Table 29 – User Login Failure Message Arguments	74
Table 30 – User Login Failure Alert Information	74
Table 31 – Drive not responding Message Arguments.....	74
Table 32 – Drive not responding Alert Information	75
Table 33 – Cooling Fan Failure Alert Information	75
Table 34 – Power Supply Failure Alert Information	75
Table 35 – Drive Power Consumption Alert Information	76
Table 36 – Drive Voltage Alert Information	76
Table 37 – Predictive Failure Alert Information	76
Table 38 – Diagnostics Required Alert Information	77
Table 39 – Authorization Failure Message Arguments.....	77
Table 40 – Error Properties for Authorization Failure	77

Table 41 – Operation Not Supported Message Arguments	78
Table 42 – Property Not Found Message Arguments	78
Table 43 – Invalid Query Message Arguments	79
Table 44 – Parameter Error Message Arguments.....	79
Table 45 – Error Properties for Parameter Error	79
Table 46 – Query Syntax Error Message Arguments.....	80
Table 47 – Error Properties for Query Syntax Error.....	80
Table 48 – Query Too Expensive Message Arguments	80
Table 49 – Error Properties for Query Too Expensive	80
Table 50 – Class or Property Invalid in Query Message Arguments.....	81
Table 51 – Error Properties for Class or Property Invalid in Query.....	81
Table 52 – Invalid Join in Query Message Arguments.....	81
Table 53 – Error Properties for Invalid Join in Query.....	82
Table 54 – Unexpected Hardware Fault Message Arguments.....	82
Table 55 – Error Properties for Unexpected Hardware Fault.....	82
Table 56 – Too busy to respond Message Arguments	82
Table 57 – Shutdown Started Message Arguments	83
Table 58 – Shutdown Started Alert Information	83
Table 59 – Component overheat Message Arguments	83
Table 60 – Error Properties for Component overheat	83
Table 61 – Component overheat Alert Information	84
Table 62 – Device Failover Message Arguments.....	84
Table 63 – Functionality is not licensed Message Arguments	84
Table 64 – Error Properties for Functionality is not licensed.....	84
Table 65 – Invalid Property Combination during instance creation or modification Message Arguments	85
Table 66 – Error Properties for Invalid Property Combination during instance creation or modification.....	85
Table 67 – Property Not Found Message Arguments	86
Table 68 – Error Properties for Property Not Found	86
Table 69 – Proxy Can Not Connect Message Arguments	86
Table 70 – Error Properties for Proxy Can Not Connect	86
Table 71 – Not Enough Memory Message Arguments	87
Table 72 – Error Properties for Not Enough Memory.....	87
Table 73 – Error Properties for Object Already Exists	87
Table 74 – Device Not ready Message Arguments	88
Table 75 – Error Properties for Device Not ready.....	88
Table 76 – Error Properties for Internal Bus Error	88
Table 77 – Error Properties for DMA Overflow	89
Table 78 – Error Properties for Firmware Logic Error	89

Table 79 – Front End Port Error Message Arguments	89
Table 80 – Front End Port Error Alert Information	89
Table 81 – Back End Port Error Message Arguments	90
Table 82 – Back End Port Error Alert Information.....	90
Table 83 – Remote Mirror Error Message Arguments	90
Table 84 – Error Properties for Remote Mirror Error.....	90
Table 85 – Remote Mirror Error Alert Information.....	91
Table 86 – Error Properties for Cache Memory Error	91
Table 87 – Error Properties for Unable to Access Remote Device	91
Table 88 – Error Reading Data Alert Information.....	92
Table 89 – Error Writing Data Alert Information	92
Table 90 – Error Validating Write (CRC) Alert Information.....	92
Table 91 – Error Properties for Copy Operation Failed.....	93
Table 92 – Error Properties for RAID Operation Failed.....	93
Table 93 – Error Properties for Invalid RAID Type	93
Table 94 – Error Properties for Invalid Storage Element Type	94
Table 95 – Error Properties for Configuration Change Failed	94
Table 96 – Error Properties for Buffer Overrun	94
Table 97 – Stolen Capacity Message Arguments	95
Table 98 – Error Properties for Stolen Capacity	95
Table 99 – Invalid Extent passed Message Arguments.....	95
Table 100 – Error Properties for Invalid Extent passed	95
Table 101 – Error Properties for Invalid Deletion Attempted.....	96
Table 102 – Error Properties for Job Failed to Start	96
Table 103 – Job was Halted Message Arguments	96
Table 104 – Invalid State Transition Message Arguments	97
Table 105 – Error Properties for Invalid State Transition	97
Table 106 – Invalid SAP for Method Message Arguments	97
Table 107 – Error Properties for Invalid SAP for Method	97
Table 108 – Resource Not Available Message Arguments.....	98
Table 109 – Error Properties for Resource Not Available	98
Table 110 – Resource Limit Exceeded Message Arguments.....	98
Table 111 – Error Properties for Resource Limit Exceeded	99
Table 112 – Thin Provision Capacity Warning Message Arguments.....	99
Table 113 – Thin Provision Capacity Warning Alert Information.....	99
Table 114 – Thin Provision Capacity Critical Message Arguments.....	100
Table 115 – Thin Provision Capacity Critical Alert Information	100
Table 116 – Thin Provision Capacity Okay Message Arguments	100
Table 117 – Thin Provision Capacity Okay Alert Information	100
Table 118 – Masking Group Membership Changed Message Arguments	101

Table 119 – Masking Group Membership Changed Alert Information	101
Table 120 – Zone Database Changed Message Arguments	101
Table 121 – Zone Database Changed Alert Information	102
Table 122 – ZoneSet Activated Message Arguments	102
Table 123 – ZoneSet Activated Alert Information	102
Table 124 – Error Properties for Session Locked	102
Table 125 – Error Properties for Session Aborted	103
Table 126 – Switch Status Changed Message Arguments	103
Table 127 – Switch Status Changed Alert Information.....	103
Table 128 – Fabric Merge/Segmentation Message Arguments	104
Table 129 – Fabric Merge/Segmentation Alert Information	104
Table 130 – Switch Added/Removed Message Arguments	104
Table 131 – Switch Added/Removed Alert Information	105
Table 132 – Fabric Added/Removed Message Arguments.....	105
Table 133 – Fabric Added/Removed Alert Information	105
Table 134 – Security Policy change Message Arguments.....	105
Table 135 – Security Policy change Alert Information	106
Table 136 – Required Firmware Version Message Arguments.....	106
Table 137 – Required Firmware Version Alert Information.....	106
Table 138 – Recommended Firmware Version Message Arguments.....	107
Table 139 – Recommended Firmware Version Alert Information	107
Table 140 – Controller OK Message Arguments.....	107
Table 141 – Controller OK Alert Information	107
Table 142 – Controller not OK Message Arguments	107
Table 143 – Controller not OK Alert Information.....	108
Table 144 – Bus rescan complete Alert Information	108
Table 145 – Disk initialize Failed Message Arguments	108
Table 146 – Disk initialize Failed Alert Information.....	108
Table 147 – Read Warning Alert Information	109
Table 148 – Write Warning Alert Information	109
Table 149 – Hard Error Alert Information	109
Table 150 – Media Alert Information.....	110
Table 151 – Read Failure Alert Information.....	110
Table 152 – Write Failure Alert Information.....	110
Table 153 – Media Life Alert Information	111
Table 154 – Not Data Grade Alert Information	111
Table 155 – Write Protect Alert Information	111
Table 156 – No Removal Alert Information	112
Table 157 – Cleaning Media Alert Information	112
Table 158 – Unsupported Format Alert Information	112

Table 159 – Recoverable Snapped Tape Alert Information	113
Table 160 – Unrecoverable Snapped Tape Alert Information	113
Table 161 – Memory Chip In Cartridge Failure Alert Information.....	113
Table 162 – Forced Eject Alert Information	114
Table 163 – Read Only Format Alert Information	114
Table 164 – Directory Corrupted On Load Alert Information	114
Table 165 – Nearing Media Life Alert Information	115
Table 166 – Clean Now Alert Information.....	115
Table 167 – Clean Periodic Alert Information	115
Table 168 – Expired Cleaning Media Alert Information	116
Table 169 – Invalid Cleaning Media Alert Information	116
Table 170 – Retention Requested Alert Information	116
Table 171 – Dual-Port Interface Error Alert Information.....	117
Table 172 – Drive Maintenance Alert Information.....	117
Table 173 – Hardware A Alert Information	117
Table 174 – Hardware B Alert Information	118
Table 175 – Interface Alert Information.....	118
Table 176 – Eject Media Alert Information	118
Table 177 – Download Failure Alert Information.....	119
Table 178 – Loader Hardware A Alert Information.....	119
Table 179 – Loader Stray Media Alert Information	119
Table 180 – Loader Hardware B Alert Information.....	120
Table 181 – Loader Door Alert Information	120
Table 182 – Loader Hardware C Alert Information	120
Table 183 – Loader Magazine Alert Information.....	121
Table 184 – Loader Predictive Failure Alert Information	121
Table 185 – Load Statistics Alert Information.....	121
Table 186 – Media Directory Invalid at Unload Alert Information.....	122
Table 187 – Media System area Write Failure Alert Information	122
Table 188 – Media System Area Read Failure Alert Information	122
Table 189 – No Start of Data Alert Information	123
Table 190 – Loading Failure Alert Information	123
Table 191 – Library Hardware A Alert Information.....	123
Table 192 – Library Hardware B Alert Information.....	124
Table 193 – Library Hardware C Alert Information	124
Table 194 – Library Hardware D Alert Information	124
Table 195 – Library Diagnostic Required Alert Information.....	125
Table 196 – Library Interface Alert Information	125
Table 197 – Failure Prediction Alert Information	125
Table 198 – Library Maintenance Alert Information	126

Table 199 – Library Humidity Limits Alert Information	126
Table 200 – Library Voltage Limits Alert Information	126
Table 201 – Library Stray Media Alert Information	127
Table 202 – Library Pick Retry Alert Information	127
Table 203 – Library Place Retry Alert Information	127
Table 204 – Library Load Retry Alert Information	128
Table 205 – Library Door Alert Information	128
Table 206 – Library Mailslot Alert Information	128
Table 207 – Library Magazine Alert Information	129
Table 208 – Library Security Alert Information	129
Table 209 – Library Security Mode Alert Information	129
Table 210 – Library Offline Alert Information	130
Table 211 – Library Drive Offline Alert Information	130
Table 212 – Library Scan Retry Alert Information	130
Table 213 – Library Inventory Alert Information	131
Table 214 – Library Illegal Operation Alert Information	131
Table 215 – Pass Through Mechanism Failure Alert Information	131
Table 216 – Cartridge in Pass-through Mechanism Alert Information	132
Table 217 – Unreadable barcode Labels Alert Information	132
Table 218 – Message Types	136
Table 219 – Required Configuration Properties for SA as DA	142
Table 220 – Required Configuration Properties for SA	142
Table 221 – ACL for File "XYZ"	179